

PATENT
Attorney Docket No. SRT-024

#2
IDS
MAA
7/3/01
RECEIVED
JUN 12 2001
Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): McLoughlin et al.
SERIAL NO.: 09/832,467 GROUP NO.: Not Yet Assigned
FILING DATE: April 11, 2001 EXAMINER: Not Yet Assigned
TITLE: An Apparatus and Method for Accessing a Mass Storage Device in a Fault-Tolerant Server

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**



☐ the requisite Statement is below, **AND**

☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

RECEIVED
JUN 12 2001
Technology Center 2100

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

John D. Lanza
Attorney for Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: June 5, 2001
Reg. No. 40,060

Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100

ABRAMSAF5049\34.2108172_1



RECEIVED

JUN 12 2001

Technology Center 2100

PATENT
Attorney Docket No. SRT-024

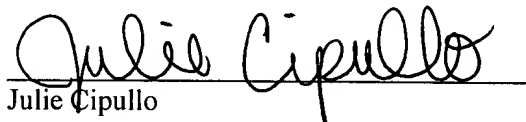
RECEIVED
JUN 12 2001
Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): McLoughlin et al.
SERIAL NO.: 09/832,467 GROUP NO.: Not Yet Assigned
FILING DATE: April 11, 2001 EXAMINER: Not Yet Assigned
TITLE: An Apparatus and Method for Accessing a Mass Storage Device

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 5th day of June, 2001.

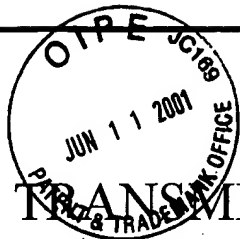

Julie Cipullo

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith by Certificate of First-Class Mailing are:

- 1) Transmittal (1 page);
- 2) Information Disclosure Statement (2 pages);
- 3) Form PTO-1449 (3 pages);
- 4) Copies of IDS Citations (A1-A49, B1-B7); and
- 5) A Return Receipt Postcard.



TRANSMITTAL FORM

Application Serial Number	09/832,467
Filing Date	April 11, 2001
First Named Inventor	McLoughlin
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRT-024
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

RECEIVED
JUN 11 2001
Technology Center 2100

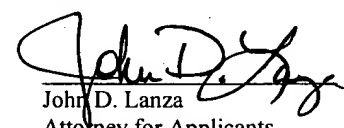
ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)
<input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Status Inquiry |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations (A1-A49, B1-B7) | <input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> CD(s) for large table or computer program | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Amendment After Allowance | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| <input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | |

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

John D. Lanza
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

Date: June 5, 2001
Reg. No. 40,060
Tel. No.: (617) 248-7604
Fax No.: (617) 248-7100